## Introduction to Atom Probe Tomography: characterization of nanostructures

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### Outline

#### Introduction

- $\label{eq:anotechnology} nanotechnology \Rightarrow nano-characterizations!$
- Electric Field-mediated evaporation
- Atom Probe Tomography experiments
  - APT measurements
  - 3D reconstruction
- Sample preparation
- > APT data analysis
- > APT: some issues





### Introduction



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## Introduction

Nano-materials + nano-objects (10 to 100 nm)  $\Rightarrow$  3D characterizations (nano-grains, dots, wires...)



- Composition /stress
- Defects in nanostructures (dislocations, clusters...)
- Interfaces

Nano-characterizations

Variations versus directions (3D)

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- Structure

2D analysis: HRTEM, HRSEM...; 3D analysis: STM, AFM...)

Composition
 2D analysis: STEM, nano-AES,...; 3D analysis?
 ⇒ APT = 3D chemical analysis at the atomic scale



## **Introduction: microelectronics**



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#### Introduction

#### Atom Probe Tomography Microscopy

- Chemical analysis of 3D volumes
- Atomic scale
- No need of composition calibrations
- Composition of interfaces and defects (dislocations, clusters...)

Can be directly compared to 3D simulations at the atomic scale (Molecular Dynamics, Monte Carlo)





## **Punctual electrical charge**



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#### **Field effect**



- V = applied voltage between the tip and the electrode R = curvature radius of the tip (~ 50 nm)
- $K_f$  = considers the influence of the specimen/detector geometry ~ 2-7

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F = electrical field on the surface tip

## Atom probe tomography (APT)







## Ion evaporation: energy barrier



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## **Field evaporation**

The electrical field F allows to reduce the barrier  $Q_0 \Rightarrow Q_n$ V activation energy  $\Rightarrow Q_n = \Lambda + \sum I_n - n\varphi_e - f(F_{dc})$  $f(F_{dc}) = \gamma F_{dc}$ п evaporation rate  $\Rightarrow \phi = v \exp(-Q_n/k_BT)$  $\Sigma I_n - n\Phi - \frac{1}{2}C_nF^2$ The expression of the function  $f(F_{dc})$ Х depends on models, but close to the threshold of evaporation, a linear behavior was experimentally observed Metal  $\Rightarrow$  *f*(F<sub>dc</sub>) = $\gamma$ F<sub>dc</sub>, with  $\gamma$  a material-Potentiel dependent parameter ionique sous champ F required time for evaporation  $\Rightarrow \tau_{evap} = \tau_0 \exp(Q_n/k_B T)$ 



#### **Field evaporation: Athermal model**

$$Q_n = \mathbf{0} \Leftrightarrow F_n \sim \left(\frac{4\pi\varepsilon_0}{n^3 e^3}\right) \times \left(\Lambda + \sum_{j=1}^n I_j - n\Phi\right)^2$$

$$F_n \sim \left(\frac{4\pi\varepsilon_0}{n^3 e^3}\right) \times Q_0^2$$

Very low T (20-100 K)  $\Rightarrow$  field evaporation only with  $Q_n = 0$ 

Low temperature  $Q_n \rightarrow 0$  to obtain elec. field mediated evaporation

 $F_n$  = evaporation field at zero activation energy = electrical field needed in order to cancel the activation energy!





#### **Laser-pulsed APT: Thermal field evaporation**



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Background noise ~ 1

#### **Laser-pulsed APT: Thermal field evaporation**



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#### **Laser-pulsed APT: Thermal field evaporation**



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$$Fz_{c} = I_{n+1} - \phi$$

1/ atom pulled from the surface: lose 1 or more electrons

2/ ion reach  $Z_c$ : 1 or several post-ionizations

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3/ ion flight

#### One dimension tunneling model $\Rightarrow$ at 0 K (no laser pulsing)

 $\begin{array}{l} \mathsf{F} = \text{electrical field} \\ \mathsf{Z}_{\mathsf{c}} = \text{post ionization critical distance between the ion and the tip surface} \\ \varnothing = \text{zero field electron work function of the surface} \\ \mathsf{I}_{\mathsf{n+1}} = \text{ionization potential of the n times charged ion} \end{array}$ 

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The energy level of the least tightly bound electron in the ion is not shifted from its zero field value of  $-{\rm I}_{\rm n+1}$ 







The energy level of the least tightly bound electron in the ion is shifted from its zero field value: due to the field effect ( $\Delta E_{Stark}$ ) and due to the ion and electron image potentials ( $\Delta E_{image}$ )

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Post-ionization occurs at or near the evaporation fields of most, if not all, metals

Field evaporation may occur as a two-stage process

Post ionization does not influence the initial evaporation and does not affect the rate at which evaporation occurs at a given field strength

➤ The total number of evaporated ions is dependent on the initial field evaporation, while their charge state is dependent on post-ionization

➤ T and F (depends on the shape of the tip) at the very top of the tip are unknown during experiments, thus, the ratio between 2+ et 1+ peaks in the mass spectrum can be a good indication of evaporation conditions (repeatability)







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## Atom probe tomography (APT)







## **APT: electrical or laser pulses**



Relative evaporation voltage as a function of emitter temperature for a tungsten sample. The detection rate is 0.005 atom/pulse.

#### Electric- or laser-mediated ion evaporation

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#### Electrical pulses: conductive materials Laser pulses: semiconductors and dielectrics

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## **APT: ion identification**



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## **APT: 2D detection in real space**



≻ Single ion hit on micro channel plate ⇒ electron cloud (~ 50% of ions)
 ≻ Electron cloud on delay lines ⇒ X:Y coordinates

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### **APT measurements**



P = 1.4 × 10<sup>-11</sup> Torr, T = 20-80 K, Pulse rate = 100-250 kHz, evap. rate = 0.2-1%, laser power = 0.01-3 nJ

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#### **APT measurements**







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# **APT: Stereographic projection**



crystallographic angles observed in APT data ( $\theta$  = arctan d<sub>pole</sub> /L<sub>0</sub>)

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### **APT: 3D reconstruction**



Almost stereographic projection with constant center

> Magnification (M) proportional to the ratio between the tip-to-detector distance (L<sub>0</sub>) and the tip radius of curvature (R<sub>0</sub>)  $\Rightarrow$  few cm and M > 1M

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 $\succ$  Compression due to tip shape + elec. Field  $\Rightarrow$  m compression factor

# **APT: voltage reconstruction**



Knowing the ion tip-to-detector trajectory (stereographic projection corrected by a compression factor), we can deduce the reverse ion trajectory (detector-to-tip)

> Ion with straight trajectory  $\Rightarrow$  direct relationship between each position (X<sub>tip</sub>, y<sub>tip</sub>) on the tip surface and the position (X<sub>d</sub>, Y<sub>d</sub>) on the detector





# **APT: voltage reconstruction** sample $M = \frac{L_0}{\left[\left(m + 1\right)R\right]}$ Field effect equation $F = \frac{V}{K_{\perp}R_{\odot}} \Longrightarrow R_0^{\prime}$ detector

> During the specimen evaporation, the radius of the tip progressively increases, since the shank angle  $\alpha$  of the tip is > 0

 $\succ$  The variations of the tip radius can be determined using the relation between the elec. field, the elec. potential and the radius

Evap. field factor  $K_f$  = const for a given material (evap. equilibrium shape) F = const for a given material + conditions of evaporation (evap. rate)



## **APT: voltage reconstruction**

ion i  
ion (i+1) 
$$z_{tip}^{(i+1)} = z_{tip}^{i} + dz$$
  
 $V_{s} = \frac{N\Omega}{\eta} \Rightarrow V_{a} = \frac{\Omega}{\eta}$   
 $V_{a} = \frac{\Omega}{\eta} = S_{tip}dz \Rightarrow dz = \frac{\Omega}{\eta}S_{tip}$ 

> Assume that the volume  $V_a$  of a detected atom is removed equally across the tip surface  $S_{tip}$  = the volume of a single atom is spread over the entire tip surface

 $\succ$  Not all the atoms are collected  $\Rightarrow \eta$  = detector efficiency

> The depth location dz of an atom in the tip can be determined knowing the volume  $\Omega$  of the considered atom, and knowing the surface of the tip





## **APT: voltage reconstruction**



 $Z^{i+1} = Z^i + dz + dz'$ 

> The evaporated surface of the tip can be determined knowing the surface of the detector  $S_d$  and the magnification M

The atomic positions in-depth are progressively built in the plane normal to the specimen apex

> In order to reconstruct atomic positions at the specimen surface, a term dz' is added to account for the curvature of the tip = projection of the ion orthogonally onto the spherical cap beneath the apex plane




# **APT: shank angle reconstruction**



> If the tip shank angle  $\alpha$  is constant, the variation of R versus the depth z can be expressed using classical geometry laws (in general  $2 \le \alpha \le 10^{\circ}$ ) > The same method as for voltage reconstruction is used for Z variations

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# **APT: shank angle reconstruction**



> Use geometrical relations to determine the position of the atoms on the surface of the tip, knowing the distance L between the tip and the detector, the ICF m, the curvature radius R of the tip, and the ion coordinates on the detector ( $X_D$ ,  $Y_D$ )

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# **APT: 3D reconstruction**



> The Reconstruction procedure works well but...generally used in not suitable cases!  $\Rightarrow$  samples made of different materials exhibiting different F, R and  $\alpha$  (multilayers, clusters...)

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# Atom probe tomography

- > Magnification ~  $10^6$  times =  $\times 10$  millions
- > Lateral resolution (T < 100 K) ~ 0.05-0.3 nm

$$\delta = \left\{ 16(m+1)^2 \left( \frac{k_B T R_0}{eFk_f} \right) + 4 \left( \frac{(m+1)^2 \hbar^2 R_0}{2M \cdot eFk_f} \right)^{1/2} \right\}^2$$

Thermal term (principal) + Heisenberg incertitude

Depth resolution < 0.07 nm in best cases</p>

Field of view ~ 50-250 nm

➤ Analyzed depth up to 0.5 µm (depends on tip fracture)





# **APT improvements**



➢ With the local electrode, the voltage is applied between the sample and the LE, i) allowing to apply a lower voltage on the sample for the same elec. field (decrease of sample fracture probability and improves mass resolution), ii) allows to use arrays of pre-shaped tips improving sample preparation and sample preparation time saving, by giving the capability to select the evaporation of a given tip among several

 $\succ$  The reflectron lens allows to multiply by ~3 the flight path length of ions, allowing to significantly increase mass resolution





➢ Bulk metallic materials can be prepared by electro-erosion, but for semiconductors and for the main part of nanotechnology materials (nano-layers, nano-wires, quantum dots...) the samples need to be prepared by Ga<sup>+</sup> focus ion beam (FIB)

Similar to field evaporation, differences in materials' erosion properties complicate FIB sample preparation

 $\succ$  Need weak ion beam (2-5 kV) to minimize Ga contamination and sample amorphization













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### **Reconstruction tools**

### Spatial distribution map (SDM)



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### **Reconstruction tools**





3D qualitative analysis Buried Ge islands

Gay dot: Si atom Green dot: Ni atom Red dot: Ge atom



#### For selection, clipping, plan-view and cross-section view











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Allows for example to define the mass spectrum of a given volume sample taken from the global APT volume (noise study, peak overlaps...)



















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#### 2D concentration map: Examples Ge concentration in the core of a Ge "dome" island



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▶ 1D profile ⇒ Ge concentration in the island core ~ 50%
▶ 2D map ⇒ Ge concentration in the island core ~ 55%







#### Iso-concentration surfaces: Examples Ge(Mn) nano-columns

TEM Nano-columns Gc(001)

cross-section



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- Concentration gradients
- ⇒ nano-columns richer in Mn in their core and closer to the surface

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Delimitation of different phases (phase selection for data analysis)

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Blue dot: O atom Green dot: Si atom Red volumes: silicon-enriched regions in SiO<sub>2</sub> matrix

Blue dot: B atom Green dot: Ni atom Black dot: Si atom Blue volumes: isodensity surfaces = 0.65 B at nm<sup>-3</sup>



#### B nano-cluster in a SiO<sub>2</sub>/NiSi/SiO<sub>2</sub>/Si(001) layer



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Si nano-clusters in a

SiO<sub>2</sub>/Si(001) layer



#### Quantitative measurements: proxigram Buried Ge islands



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Si-pure island core ~ 1.5 nm

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Quantitative measurements: atomic radial distribution Mn-Ge nano-clusters in poly-Ge



> Investigation of atom distribution, here up to 4 nm a Mn atom has  $\sim 10$  times more Mn and C atoms than Ge atoms in its vicinity

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Even if clusters cannot be observed using iso-surfaces, statistical studies can be performed on the atomic distribution in the APT volume lading to the detection of clusters (number, size, composition...)

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# **APT: some issues**

- Sample fracture due to the stress applied to the tip during electrical or laser pulsing (electrical force and/or temperature diffusion effects), and due to interface weakness in multilayer films
- > Detection limit ~  $10^{18}$  at cm<sup>-3</sup>: APT allows atomic scale analysis but despite that 50-40% of all the atoms present in the sample are collected, the detection limit is limited by the small size of the probed volumes ( $10^{19}$  at cm<sup>-3</sup> ~ 1 at in 100 nm<sup>3</sup>)
- > Mass resolution (overlapping peaks in the mass spectrum) can depend on analysis conditions (too high laser energy for example)
- Evaporation of some materials can occur via molecule formation
   complex mass spectra (complex ion identification)
- > Need references (film thickness, flat interfaces) in order to perform the best 3D reconstruction  $\Rightarrow$  best reference = atomic planes
- $\succ$  Reconstruction procedure considers a single evaporation field F (= 1 homogeneous material), but samples can be made of several materials
- > Best analysis conditions can be different for different materials (oxides, metals, semiconductors...)  $\Rightarrow$  alloys, multilayer films...





# **APT issues: multiple hits**



For the same time on the tip can arrive at the same time on the line detector

 ➢ If the two hits are enough far apart on the detector, two signals are detected but it is difficult to define which signal belongs to which ion
 ⇒ dedicated algorithms can separate some of the ions

> If the ions are too close on the detector, a single signal instead of n can be detected on some lines, preventing to differentiate the n ions  $\Rightarrow$  a single hit is counted instead of n!  $\Rightarrow$  C = C/n!!

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# **APT issues: surface diffusion**



> Due to the low temperature of analysis (20-80 K), surface diffusion is not a problem in general, but in some cases some of the atoms may diffuse on the tip surface and evaporate preferentially on sites of smaller curvature radius  $\Rightarrow$  wrong atom distribution





# **APT issues: local magnification**



 $\succ$  V = const: evaporation at surface regions of smaller curvature radius

 $\succ$  Regions of higher evap. field (F<sub>e</sub>) need smaller radius for evaporation

> Case of an homogeneous matrix with  $F_e^M$  containing clusters with  $F_e^C$   $\Rightarrow$  the density of atoms in clusters will be different from in the matrix, and the size of the clusters will not be the real one

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# **APT issues: local magnification**



#### Significant evaporation field difference promotes curved interfaces

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# **APT issues: local magnification**



Difference of curvature radius promotes atomic density variations and distance/thickness variations

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#### **APT issues: molecules**



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- > No Ge molecules in the mass spectrum
- ➢ Background noise ∼ 1-2

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> Higher background noise ~ 6-10

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Background noise stays high ~ 10, specially between peaks ~ 40-100

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